

<b>General information about company</b>	
Scrip code	539151
Name of the company	ARFIN INDIA LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	Yes
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	2211400			2211400	73.27	2211400		2211400	73.27
(B)	Public	728	806900			806900	26.73	806900		806900	26.73
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	738	3018300			3018300	100	3018300		3018300	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group		732857	732857	72.68	1832900	82.88			2211400
(B)	Public		300000	300000	27.32	33400	4.14			667400
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total		1032857	1032857	100	1866300	61.83			2878800

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	10	2211400			2211400	73.27	2211400		2211400	73.27
Sub-Total (A) (1)		10	2211400			2211400	73.27	2211400		2211400	73.27
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		10	2211400			2211400	73.27	2211400		2211400	73.27
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	633	350261			350261	11.6	350261		350261	11.6
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	369670			369670	12.25	369670		369670	12.25
(e)	Any Other (specify)	87	86969			86969	2.88	86969		86969	2.88
Sub-Total (B) (3)		728	806900			806900	26.73	806900		806900	26.73
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		728	806900			806900	26.73	806900		806900	26.73
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		738	3018300			3018300	100	3018300		3018300	100
Total (A+B+C)		738	3018300			3018300	100	3018300		3018300	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)		732857	732857	72.68	1832900	82.88			2211400
Sub-Total (A) (1)		732857	732857	72.68	1832900	82.88			2211400
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		732857	732857	72.68	1832900	82.88			2211400
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))		300000	300000	16.05	33400	9.54			241161
(a(ii))		0	0	9.13	0	0			369670
(e)		0	0	2.15	0	0			56569
Sub-Total (B) (3)		300000	300000	27.32	33400	4.14			667400
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		300000	300000	27.32	33400	4.14			667400
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )		1032857	1032857	100	1866300	61.83			2878800
Total (A+B+C )		1032857	1032857	100	1866300	61.83			2878800

<b>Individuals/Hindu undivided Family</b>							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Mahendrakumar Rikhavchand Shah	Jatin Mahendrakumar Shah	Pushpaben Mahendrakumar Shah	Rani Jatin Shah	Jatin Mahendra Shah HUF	Pooja Mahendrabhai Shah	Mahendrakumar Rikhavchand HUF
PAN (II)	AFDPS6796P	ASMPS1116P	AEAPS1693F	ACLPN2862L	AAEHJ8387H	BRIPS0792P	AACHM0604A
No. of fully paid up equity shares held (IV)	527400	453900	442700	403900	180500	135500	62500
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	527400	453900	442700	403900	180500	135500	62500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.47	15.04	14.67	13.38	5.98	4.49	2.07
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg:X	527400	453900	442700	403900	180500	135500	62500
Class eg:y							
Total	527400	453900	442700	403900	180500	135500	62500
Total as a % of Total Voting rights	17.47	15.04	14.67	13.38	5.98	4.49	2.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	155000	155000	155000	100000	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	155000	155000	155000	100000	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	16.84	15.03	14.75	12.44	4.46	3.34	1.54

Number of Locked in shares (XII)							
No. (a)	527400	453900	442700	403900	0	0	0
As a % of total Shares held (b)	100	100	100	100	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	527400	453900	442700	403900	180500	135500	62500
Reason for not providing PAN							
Reason for not providing PAN							



<b>Individuals/Hindu undivided Family</b>				
Serial No.	8	9	10	
Name of the Shareholders (I)	Khushbu Mahendrabhai Shah	Krish Jatin Shah (Minor) through the Guardian Mr. Jatin M. Shah	Khwaish Jatin Shah (Minor) through the Guardian Mr. Jatin M. Shah	<a href="#">Click here to go back</a>
PAN (II)	BRIPS0875D	EZWPS2766E	FSTPS5996F	Total
No. of fully paid up equity shares held (IV)	5000	0	0	2211400
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5000	0	0	2211400
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.17	0	0	73.27
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg:X	5000	0	0	2211400
Class eg:y				
Total	5000	0	0	2211400
Total as a % of Total Voting rights	0.17	0	0	73.27
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)	42857	100000	25000	732857
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	42857	100000	25000	732857
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	1.18	2.47	0.62	72.68
<b>Number of Locked in shares (XII)</b>				
No. (a)	5000	0	0	1832900
As a % of total Shares held (b)	100	0	0	82.88
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				
No. (a)				

As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	5000	0	0	2211400
Reason for not providing PAN				
Reason for not providing PAN				

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Manjudevi Madanlal Nahata	Pratik Lalitkumar Shah	Ratandevi Sureshkumar Nahata	Rishabh Rameshkumar Shah	Shah Priti Gopal	Sishiladevi Paramsal Nahata
PAN (II)	AAWPN0986C	EIEPS8130P	AAWPN0984A	FMQPS2762L	AWOPS1670A	AAWPN0991M
No. of fully paid up equity shares held (IV)	40000	35309	40000	40910	119551	40000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	40000	35309	40000	40910	119551	40000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.33	1.17	1.33	1.36	3.96	1.33
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	40000	35309	40000	40910	119551	40000
Class eg:y						
Total	40000	35309	40000	40910	119551	40000
Total as a % of Total Voting rights	1.33	1.17	1.33	1.36	3.96	1.33
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.99	0.87	0.99	1.01	2.95	0.99
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in	40000	35309	40000	40910	119551	40000

dematerialized form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	315770
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	315770
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.46
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	315770
Class eg:y	
Total	315770
Total as a % of Total Voting rights	10.46
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	7.79
Number of Locked in shares (XII)	
No. (a)	0
As a % of total Shares held (b)	0
Number of equity shares held in dematerialized form (XIV)	

Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	HUF	NRI – Non- Repat	NRI – Repat	Clearing Members	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)						<a href="#">Click here to go back</a>
PAN (II)						Total
No. of the Shareholders (I)	27	31	1	9	19	87
No. of fully paid up equity shares held (IV)	17840	27920	375	1604	39230	86969
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17840	27920	375	1604	39230	86969
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.59	0.93	0.01	0.05	1.3	2.88
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	17840	27920	375	1604	39230	86969
Class eg:y						
Total	17840	27920	375	1604	39230	86969
Total as a % of Total Voting rights	0.59	0.93	0.01	0.05	1.3	2.88
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.44	0.69	0.01	0.04	0.97	2.15
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0

As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	17840	20	375	1604	36730	56569
Reason for not providing PAN						
Reason for not providing PAN						



